### HAMILTON, BROOK, SMITH & REYNOLDS, P.C. JC10 Rec'd PCT/PTO 0 6 MAY 2005

# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY 'S DOCKET NUMBER 3912.1000-000

U.S. APPLICATION NO. (IELenown, see 37 CEN 1.5)

INTERNATIONAL APPLICATION NO. PCT/EP2003/012377

INTERNATIONAL FILING DATE
06 NOVEMBER 2003
(06.11.03)

07 NOVEMBER 2002 (07.11.02)

TITLE OF INVENTION

Process for Expression and Secretion of Proteins by the Non-Conventional Yeast Zygosaccharomyces Bailii

APPLICANT(S) FOR DO/EO/US

Danilo Porro, Paola Branduardi, Minoska Valli, and Lilia Alberghina

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
- 2. [ ] This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
- 3. [ ] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. [X] The US has been elected (Article 31).
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [ ] is attached hereto (required only if not communicated by the International Bureau).
  - b. [X] has been communicated by the International Bureau.
  - c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. [ ] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - i. [ ] is attached hereto.
  - b. [ ] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [] are attached hereto (required only if not communicated by the International Bureau).
  - b. [ ] have been communicated by the International Bureau.
  - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [X] have not been made and will not be made.
- 8. [ ] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- 9. [ ] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [ ] An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

#### Items 11 to 20 below concern document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98 (3 pgs.), PTO-1449 (2 pgs..), copies of References B1, B2, B3 and C1 and copy of EPO International Search Report.
- 12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A preliminary amendment (6 pgs.).
- 14. [ ] An Application Data Sheet under 37 CFR 1.76.
- 15. [ ] A substitute specification.
- 16. [ ] A power of attorney and/or change of address letter.
- 17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 1.825.
- 18. [ ] A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- 19. [ ] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. [X] Other items or information: (1) Copy of Published International Application in English.

## JC14 Rec'd PCT/PTO 06 MAY 2005

							DOCKET NUMBER 912.1000-000		
							CALCULATIONS	PTO USE ONLY	
The following fees have been submitted							\$ 300.00	F TO USE ONLY	
21. [X] Basic national fee							3 300.00		
If International preliminary examination report prepared by USPTO and all									
claims satisfied provisions of PCT Article 33(1)-(4) \$100.00									
All other situations							\$ 200.00		
Search fee (37 CFR 1.445(a)(2)) has been paid on the international									
application to the USPTO as an International Searching Authority \$100.00									
International Search Report prepared and provided to the Office							\$ 400.00		
TOTAL OF 21, 22 and 23 =							\$900.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding									
sequence listing or computer program listing filed in an electronic medium). The fee is									
\$250 for each additional 50 sheets of paper or fraction thereof.									
Total Sheets	Extra sheets		of each additional 50 or fraction of (round up to a whole number)		RAT	E			
- 100 =	/50 =				x \$250	.00	\$0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).							\$		
CLAIMS	NUMBER	FILED	NUMBER EXTRA	J	RATE				
Total claims	Total claims 21 - 20 =		1	x \$50.00		\$50.00			
Independent claims 2 - 3 =		- 3 =	0	x \$200.00		\$0.00			
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00							\$		
TOTAL OF ABOVE CALCULATIONS =							\$950.00		
[ ] Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.							\$		
SUBTOTAL =							\$	L	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).							\$		
TOTAL NATIONAL FEE =							\$950.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							\$		
TOTAL FEES ENCLOSED =							\$950.00		
							Amount to be refunded:	\$	
							Amount to be charged:	\$	
a. [X] A check in the amount of \$950.00 to cover the above fees is enclosed.									
b. [] Please charge my Deposit Account No. 08-0380 in the amount of \$[] to cover the above fees. A duplicate copy of this sheet is enclosed.									
c. [X] The Commissioner is hereby authorized to charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380. A duplicate copy of this sheet is enclosed.									
			nit under 37 CFR 1.49					R 1.137(a)	
or (b)) must be filed and granted to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO:									
Customer No. 021005 HAMILTON, BROOK, SMITH & REYNOLDS, P.C.  530 Virginia Road P.O. Box 9133							· · · · · · · · · · · · · · · · · · ·		
Concord, MA 01742-9133 55,845									
	REGISTRATION NUMBER								



### IN THE UNITED STATES RECEIVING OFFICE (RO/US) Designated/Elected Office (DO/EO/US)

U.S. National Stage of

International Application No.: PCT/EP2003/012377

International Filing Date:

6 November 2003

Earliest Priority Date Claimed:

7 November 2002

Applicants:

Danilo Porro, Paola Branduardi, Minoska Valli, and

Lilia Alberghina

Title:

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Attorney's Docket No.:

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